Eff. 1/28/2014
Updated:
2/2015, 2/2016

CHEVY CHASE VILLAGE ETHICS COMMISSION

VILLAGE BOARD MEMBER FINANCIAL DISCLOSURE STATEMENT

This form must be filed by all sitting Village Board Members annually. Elected Board Members must file the form with the Village Manager (for the Ethics Commission) on or before April 30 in any year. An appointed Board Member must file the form within 30 days of appointment.

I Would Like To Be Notified If Someone Looks at or Copies My Form ☐

Instructions:

1. Fill in the preliminary information requested in the box below. Be sure to correctly identify the reporting period.
2. Upon completion of your financial disclosure statement, sign and date the lower portion of the page and make the required oath or affirmation.

PLEASE PRINT OR TYPE

FIRST NAME	INITIAL	LAST NAME
CURRENT ADDRESS *(WHERE YOU CAN BE SENT CORRESPONDENCE)*		
OFFICE FOR CANDIDACY		
E-MAIL ADDRESS		
REPORTING PERIOD January 1, 2015 TO December 31, 2015		

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by the Village's Ethics Ordinance, adopted on October 8, 2012, and approved by the State Ethics Commission on October 25, 2012 (and amended effective December 22, 2014), as being in compliance with State Government Article, Title 15, Subtitle 6 of the Maryland Public Ethics Law, with respect to the period indicated and pertaining to the person filing the statement. The statement consists of this cover sheet, the checklist, and Schedules A through I.

I hereby make oath or affirm under penalty of perjury that the contents of this Financial Disclosure Statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____

Date: _____

STATE OF _____:

to wit:

COUNTY OF _____:

On this _____ day of _____, 2016, before me, the undersigned officer, personally appeared _____, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument as a resident of CHEVY CHASE VILLAGE and acknowledged that he/she executed this Financial Disclosure Statement for the purposes therein contained as his/her act and deed.

WITNESS my hand and notarial seal.

_____

Notary Public

My commission expires: _____

FOR USE BY VILLAGE OFFICE ONLY

Received _____ By _____

Date Village Manager

Unless the form is signed, dated and properly notarized, the Village Ethics Commission will not consider the financial disclosure statement to be filed until the properly notarized statement is resubmitted.

Checklist:

Complete by checking the "yes" or "no" box as to each statement. Do not leave any statement unanswered. Note that each statement corresponds to a Schedule. If you have questions as to the meaning of a statement, review the corresponding Schedule and instructions for a more detailed description of the interests involved.

Caution: ***Please read all instructions on accompanying instruction sheet including all definitions contained therein, before completing this form.***

		YES	NO
A.	I held interests during the reporting period in real property located in or outside Maryland. (If "Yes," complete Schedule A.)	**A.**	
B.	I held interests during the reporting period in corporations, partnerships and similar entities. (If "Yes," complete Schedule B.)	**B.**	
C.	I held interests in a business entity (including partnerships and non-corporate entities) which did business with the Village during the reporting period. (If "Yes", complete Schedule C.)	**C.**	
D.	I received gifts during the reporting period from persons doing business with the Village, regulated by the Village, or registered or required to register as lobbyists. (If "Yes," complete Schedule D.)	**D.**	
E.	I or a member of my immediate family was a partner or held an office, directorship, or salaried employment during the reporting period in or with a business entity doing business with the Village. (If "Yes," complete Schedule E.)	**E.**	
F.	I or a member of my immediate family owed debts (excluding retail credit accounts) during the reporting period to persons doing business with the Village. (If "Yes," complete Schedule F.)	**F.**	
G.	A member of my immediate family was employed by the Village during the reporting period. (If "Yes," complete Schedule G.)	**G.**	
H.	I, or my spouse, received a salary or other earned income from a source other than the Village, or my dependent child received earned income from an entity doing business with the Village. (If "Yes," complete Schedule H.)	**H.**	
I.	I have additional interest or information I would like to report. (If "Yes," complete Schedule I.)	**I.**	

FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS AND DEFINITIONS

A MESSAGE TO MEMBERS OF THE CHEVY CHASE VILLAGE BOARD OF MANAGERS

Please read the instructions carefully and be sure to provide all information requested for each interest you disclose on your statement.

Financial disclosure statements are public records that may be examined or copied by the public. At the top of the cover page we have provided a place for you to check if you want the Chevy Chase Village Ethics Commission to notify you of the names and addresses of any persons who have examined or copied your statement.

GENERAL INSTRUCTIONS

A. **Persons Required to File**

Sitting members of the Board of Managers are required to file this financial disclosure statement.

B. **When to File**

1. Sitting Board Members must file a financial disclosure statement on or before April 30th each year during that person's term in office covering the previous calendar year.

2. A person who does not have a current financial disclosure statement on file and who is appointed to fill a Board vacancy must file a statement covering the previous calendar year within 30 days after appointment.

3. If a Board Member leaves his/her position after January 1, but prior to filing the regular annual statement due April 30, he or she must file the annual statement (covering the previous calendar year), plus an additional termination statement covering the part of the current year served. You must file your termination statement within 60 days of leaving your position.

C. **Where to File and Where to Get Forms**

Forms are available at the Chevy Chase Village Office and on the Village's website at www.chevychasevillagemd.gov. The completed form is to be filed with the Village Manager.

D. **Definitions:**

"Attributable" interest: Certain property and business interests not directly held by a person may be "attributed" to him or her and must be reported on the financial disclosure statement. The circumstances under which attribution occurs are as follows:

(1) If you owned a 30% or greater equity interest in any business entity during the reporting period and that business entity holds a property or business interest which, if you owned directly, you would be required to report on Schedule A, B, or C, the interest is attributable to you and you must report it on the appropriate

Schedule. This limited attribution does not reduce or affect in any way the requirement for disclosure on Schedule A of real property held by a partnership even if the filer holds less than a 30% interest in the partnership.

(2) If your spouse or child holds a property or business interest which you would have to report on Schedule A, B, or C, if you owned it directly, and if you exercised direct or indirect legal control over that interest at any time during the reporting period, the interest is attributable to you and must be reported on the appropriate Schedule.

(3) If at any time during the reporting period you (a) held a reversionary interest in a trust or estate or (b) were the beneficiary of a trust or estate or (c) were the settler of a revocable trust, then any interest held by the trust or estate which you would have to report on Schedule A, B, or C, if you owned it directly, it is attributable to you and must be reported on the appropriate Schedule. For purposes of this attribution provision, you are a beneficiary of a trust if you are a current income beneficiary, either receiving income or currently entitled to receive income, or if you have a current ownership or other interest in the corpus of the trust. Beneficiaries of an estate include immediate beneficiaries of an estate even if it is not settled. A trust, within the meaning of this section, does not include a common trust fund or a trust which forms part of a pension or profit-sharing plan that has more than 25 participants.

"Business entity":

(1) means a corporation, general or limited partnership, sole proprietorship, joint venture, unincorporated association or firm, institution, trust, foundation, or other organization, whether or not operated for profit.

(2) does NOT include a federal, state or local governmental entity.

(3) includes a mutual fund or managed fund, the disclosure of which requires only information as to the fund itself, and not partnerships, corporations or other business entities in which the fund has invested.

"Commission" means the Chevy Chase Village Ethics Commission.

"Compensation" means any money or thing of value, regardless of form, received or to be received by any individual from an employer for service rendered.

"Dependent child" means a son or daughter, more than half of whose total support during the reporting year was provided by you and/or your spouse.

"Doing business with" means:

(1) having or negotiating a contract that involves the commitment, either in a single or combination of transactions, of five thousand dollars ($5,000) or more of Village controlled funds; or

(2) Being regulated by or otherwise subject to the authority of the Village.

"**Elected official**" means any individual who holds an elective office of the Village.

"**Employee**":

(1) means an individual who is employed by the Village.

(2) does NOT include a local elected official.

"**Gift**":

(1) means the transfer of anything of economic value, regardless of the form, without adequate and lawful consideration.

(2) does NOT include a political campaign contribution regulated under Election Law Article, Annotated Code of Maryland, or any other provision of State or local law regulating the conduct of elections or the receipt of political campaign contributions.

"**Immediate family**" means a spouse and dependent children.

"**Interest**":

(1) means a legal or equitable economic interest, whether or not subject to an encumbrance or a condition, that is owned or held, in whole or in part, jointly or severally, directly or indirectly.

(2) includes any "interest" held at any time during the reporting period.

(3) "Interest" does NOT include:

(i) an interest held in the capacity of a personal agent, custodian, fiduciary, personal representative, or trustee, unless the holder has an equitable interest in the subject matter;

(ii) an interest in a time or demand deposit in a financial institution or government entity;

(iii) an interest in an insurance policy, endowment policy, or annuity contract under which an insurer promises to pay a fixed amount of money either in a lump sum or periodically for life or a specified period;

(iv) a common trust fund or a trust which forms part of a pension or profit sharing plan which has more than twenty-five (25) participants and which has been determined by the Internal Revenue Service to be a qualified trust under the Internal Revenue Code; or

(v) a college savings plan under the Internal Revenue Code.

(vi) a mutual fund that is publicly traded on a national scale unless the mutual fund is composed primarily of holdings of stocks and interests in a specific sector or area that is regulated by the Village.

"**Official**" means an elected official, an employee of the Village, or a person appointed to or employed by the Village or any Village agency, board, commission, or similar entity:

(1) whether or not paid in whole or in part with Village funds; and
(2) whether or not compensated.

"**Person**" includes an individual or business entity.

E. **Instructions for Completing Schedules:**

SCHEDULE A. Real Property

Real property held by the filer or by a partnership, limited liability partnership, or limited liability company in which the filer has an interest must be disclosed, if it is held at any time during the reporting period. You must report real property whether it is located in or outside of Maryland. Partnership property must be disclosed, without regard to the extent of the filer's interest in the partnership. You must also report any interest you have in leasehold property, for example, property in which you are tenant, including business offices and personal residences. You must report your personal residence if it is owned directly by you, attributable to you or rented by you.

Note also that all appropriate information (e.g., date, seller, etc.) must be reported for land you own whether or not you have constructed improvements on it.

Schedule A deals with real property interests only; do not report here your interests in business entities. If real property held by an entity is attributable to you, or is a partnership, limited liability partnership, or limited liability company property, list the real property on this Schedule. Report your interest in the entity on Schedule B, C, or H (whichever is appropriate) if it falls within the reporting requirements of those Schedules.

Please answer the Questions 1 through 11c as appropriate. The Village Ethics Ordinance requires for each property to be disclosed that you give the location, the type of property, the nature and extent of your interest, the identity of other persons holding an interest in the property, encumbrances, date and manner of acquisition, the identity of the person from whom you acquired the property, and the nature and amount of consideration. Additionally if you transferred the property during the calendar year, the name of the person to whom you transferred the property, the portion of interest transferred and the amount of consideration received is required to be disclosed.

SCHEDULE B. Interests in Corporations, Partnerships and Other Business Entities

Report all interests in any corporation, partnership, limited liability partnership or limited liability company, managed fund or mutual fund held by you during the reporting period, whether or not the entity does business with the Village. Examples of frequently disclosed items on this schedule are corporate stocks, corporate bonds, partnerships, and interests in mutual or managed funds (not the individual holdings of the such funds). Filers are not required to disclose holdings in an Internal Revenue Service qualified trust or college savings plan. This would include the State of Maryland § 401(a), § 401(k) and § 457 (deferred compensation) plans. This would also include Maryland College Savings Plans, such as 529 investment accounts. Other possible qualified retirement accounts include 403(b) plans. The filer must determine whether the plan in which they participate meets the requirements for exemption from disclosure. (See definition of "interest" in paragraph D of Instructions and Definitions.)

Please answer the questions on Schedule B-1 as appropriate. The Ethics Law requires for each interest to be disclosed that you give the name and address of the entity, the nature and extent of your interest, the amount of the interest in actual number of shares, range of shares (0-100, 101-250, 251-500, over 500) or estimated percentage ownership interest in the entity (less than 1%, 1-5%, 6-10% or over 10%), other interests held in the entity, and any encumbrances or conditions.

Additionally, for each interest that was acquired during the calendar year, indicate on a Schedule B-2 the date and manner of acquisition, the identity of the person from whom you acquired the interest, if known (if acquired in an open market transaction where the seller is unknown, state "unknown, open market trade"), and the number (or range) of shares or estimated percentage ownership interest acquired and the consideration. If the acquisition solely consists of an addition to an existing, public traded corporate interest acquired by dividend or dividend reinvestment and it is less than $500, you need not provide any additional information.

Finally, for any interest sold or transferred during the calendar year, indicate on a Schedule B-2 the name of the person to whom you transferred the interest, if known (if an open market transaction where the buyer is unknown, state "unknown, open market trade") and the number (or range) of shares or estimated percentage ownership interest transferred, and the consideration.

SCHEDULE C. Interests in Business Entities Doing Business with the Village

Report all interests in any business entity that does business with the Village, **including sole proprietorships**. A list of business entities doing business with the Village is attached as Exhibit I.

Please answer the Questions 1 through 6C as appropriate. The Village Ethics Ordinance requires, for each interest in any business entity doing business with the Village, that you give the name and address of the entity, the nature and extent of your interest, the amount of the interest, other interests held in the entity, and any encumbrances or conditions.

Additionally, if the interest was acquired during the calendar year, the date and manner of acquisition, the identity of the person from whom you acquired the interest, if known, (if acquired in an open market transaction where the seller is unknown, state "unknown, open market trade") and the nature of the interest acquired will need to be disclosed. Also Indicate on

a Schedule C, the number (or range) of shares or estimated percentage ownership interest acquired, and the consideration. If the acquisition solely consists of an addition to an existing, public traded corporate interest acquired by dividend or dividend reinvestment and it is less than $500, you need not provide any additional information.

Finally, if you transferred an interest during the calendar year, the date and manner of transfer, the identity of the person to whom you transferred the interest, if known (if an open market transaction where the buyer is unknown, state "unknown, open market trade") and the nature of the interest transferred will need to be disclosed. For any interest sold or transferred during the calendar year, also indicate on Schedule C and the number (or range) of shares or estimated percentage ownership interest transferred, and the consideration.

SCHEDULE D. Gifts

You must report each gift in excess of $20 in value, or a series of gifts from any one person totaling $100 or more, received by you at any time during this reporting period or by any other person at your direction, from or on behalf of, directly or indirectly, any person who does business with the Village. Generally, gifts received by members of your immediate family will be considered as gifts received by you for reporting purposes. You should note if the gift was received by a spouse or dependent child. A list of business entities that do business with the Village is attached as Exhibit I.

The following gifts need not be reported:

1. Gifts received from your spouse, children and parents.

2. Political campaign contributions regulated in accordance with the provisions of the Elections Article or any other provision of State law regulating the conduct of elections or the receipt of political campaign contributions. Contributions to legal defense funds are generally considered to be gifts under the Village Ethics Ordinance.

SCHEDULE E. Offices, Directorships, Salaried Employment, and Similar Interests

List on this schedule the name and address of the principal office of each business entity doing business with the Village in which, at any time during the reporting period, you or a member of your immediate family (spouse and dependent children) held an office, directorship, salaried employment, or similar interest. Also list other similar interests (such as being a partner) held by you or a member of your immediate family during the reporting period, and not otherwise disclosed on this Schedule, in any business entity doing business with the Village. For example, the presidency of a corporation or a partnership in a law firm should be reported, as should employment as an engineer in a consulting firm that is a partnership if the entity does business with the Village. For each office, directorship, and employment to be disclosed, answer Questions 1 through 6. A list of businesses entities that do business with the Village is attached as Exhibit I.

SCHEDULE F. Debts

Report all debts you owed during the reporting period to any entity doing business with the Village. (A list of businesses entities that do business with the Village is attached as Exhibit I). Exclude retail credit accounts (which include store and bank charge accounts). You must, however, include installment loans, mortgages, car loans, or other time-fixed liabilities owed to an entity doing business with the Village.

Please answer Questions 1 through 7 for each debt to be disclosed. The Village Ethics Ordinance requires that you identify the person or entity to whom the debt is owed, the date the debt was incurred, the terms of payment of the debt, the amount of the debt owed as of the last day of the calendar year, the extent to which the principal amount of the debt increased or decreased during the calendar year, and a description of any security given for the debt. Additionally, if this transaction resulted in a debt being owed by your spouse or dependent child, you need to identify your spouse and/or child and describe the transaction.

SCHEDULE G. Family Members Employed by the Village

Please answer Questions 1 through 3 as appropriate listing all members of your immediate family (spouse and dependent children) who were employed by the Village in any capacity at any time during the reporting period.

SCHEDULE H. Employment and Business Ownership

Please answer Questions 1 and 2 as appropriate listing: 1) the name and address of any places of employment for you, your spouse or a dependent child, and 2) business entities wholly or partially owned by you, your spouse or a dependent child, and from which income was earned during the reporting period whether or not the entity did business with the Village. For example, earned income includes salaried employment, individual consulting activities, private law or medical practices, and business activities involving income-producing real property. With regard to dependent children, you need report only earned income from employment or an interest in a business entity if that employment related to, or business entity did business with, the Village.

SCHEDULE I (Optional)

You may use this Schedule for any interest or transactions you have not been required to disclose on Schedule A through G but wish to disclose. This Schedule may also be used to further explain any prior entry.

SCHEDULE A – Real Property Interests

Do you have any interest (**as an owner or a tenant**, including interests in time shares) in real property in Maryland or in any other state or country?

___ Yes
___ No (Go to Schedule B)

<u>If Yes</u>; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)

1. What is the address or legal description of the property? (Give street address or you may enter the lot and block legal description instead, if you wish)

Street Address _____
City/State/Zip _____

2. What kind of property is it?

____ Improved (indicate whether property is residential or commercial property): _____

____ Unimproved (vacant lot):

3. Is the interest held directly by you or is it attributable to you? (See Paragraph D of the Financial Disclosure Statement Instructions and Definitions for definition of "Attributable.")

Direct_____ Attributable_____

4. Are you the owner or tenant?

Owner_____ Tenant_____

5. Do you hold the interest solely or is it jointly held with another?

Solely_____ Jointly_____ Tenants by the Entirety____

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s):_____

_____

6. Are there any legal conditions or encumbrances on the property? (Example: mortgages, liens, contracts, options, etc.)

___ Yes
___ No

<u>If yes</u>, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc?_____

7. What date was the property acquired? _____

8. How was the property acquired? (Example: purchase, gift, inheritance, etc.)

_____

9. From whom was the property acquired? (Name of individual or entity from whom you purchased or inherited the property or who gifted the property to you.)

_____

10. What consideration was given when the property was acquired? (Dollar amount paid or, if you received the property as a gift or inherited it, the estimated fair market value at the time you acquired your interest in the property) _____

11. Have you transferred any interest in this property during the reporting period?

___ Yes
___ No

If Yes;

11.A. What percentage of interest did you transfer: _____%

11.B. What consideration did you receive for the interest: _____

11.C. To whom did you transfer the interest: _____

If you have any additional interests in real property in Maryland, any other state, or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.

SCHEDULE B – Interests in Corporations, Partnerships and Other Business Entities

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP), limited liability companies (LLC), managed funds or mutual funds during the reporting period whether or not the entity did business with the Village?

___ Yes
___ No (Go to Schedule C)

If Yes; For every entity in which you had an interest at the end of the reporting year (i.e., December 31, 2015), a separate Schedule B-1 will be required, and a separate Schedule B-2 will be required for each transaction in which you acquired, sold, or transferred any interest in that entity during the year. Alternatively, the disclosures may be made in the form of an end-of-year brokerage statement, spreadsheet chart, or other descriptive format, provided that such chart or statement contains the requested information and is accepted by the Village Ethics Commission.

SCHEDULE B-1 – Year-end Holdings in Corporations, Partnerships, and Other Business Entities

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol:_____

2. Does the stock of the corporation trade on a stock exchange?

___ Yes ___ No

If "no," the legal address (city and state, or city and country if foreign) of the entity, if known.

_____

3. Is the interest held directly by you or is it attributable to you? (See Paragraph D of the Financial Disclosure Statement Instructions and Definitions for definition of "Attributable.")

Directly:_____ Attributable:_____

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone: _____ Jointly:_____

If jointly, the percentage of your interest: _____%

5. What is the nature of your interest (e.g., stock, notes, corporate bonds, puts, calls, straddles, purchase options, etc.) and, if a shareholder, the number or range of shares or estimated percentage ownership interest? The number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). If in a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

Nature of Interest: _____

Number (or range) of Shares: _____, or estimated percentage ownership interest: _____%

6. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

___ No

___ Yes; **If yes**, name of entity holding the encumbrance: _____

SCHEDULE B-2 – Acquisition, Sale, or Transfer of Interest in Business Entity

In the following questions, the number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). For a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

If you are reporting an acquisition of interest:

Entity: _____

1. In what month was the interest acquired? _____

2. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.):

_____

3. From whom did you acquire the interest in the entity? (If the interest was purchased by you or on your behalf in in an open market transaction, as in the case of publicly traded securities, state "unknown, market transaction"): _____

4. Number (or range) of shares acquired: _____, or

Estimated ownership percentage acquired: _____ %

5. If the interest acquired was shares in an entity that is publicly traded, was the acquisition at the market price?_____(Yes/No). If your answer is "no" or if the shares acquired were not publicly traded, state the price per share acquired._____. If the ownership interest acquired was other than shares, state the consideration paid._____.

If you are reporting a sale or transfer of interest:

6. In what month was the interest transferred?: _____

7. How was the interest in the entity transferred (Example: sale, gift, etc.):

_____

8. To whom did you transfer your interest in the entity? (If it was sold by you or on your behalf in an open market transaction as in the case of publicly traded securities, state: "unknown, market transaction".) _____

9. Number (or range) of shares transferred: _____, or

Estimated ownership percentage transferred: _____ %

10. If the interest transferred was shares in an entity that is publicly traded, was the transfer at the market price?_____(Yes/No). If your answer is "no" or if the shares transferred were not publicly traded, state the price per share transferred. _____. If the ownership interest transferred was other than shares, state the consideration received._____.

SCHEDULE C – Interests in Business Entities Doing Business with the Village

Do you have an interest in any business entity (including a non-corporate business entity (such as partnership or a sole proprietorship)) that did business with the Village during the reporting period? (See Exhibit I Attached which lists entities doing business with the Village)

___ Yes
___ No (Go to Schedule D)

If Yes; (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed. If the business entity is previously listed on Schedule B, list the name of the entity only and, after the name, state "See Schedule B".)

1. Name and Address, if known, of the Principal office of the business entity?

Name: _____

Address: _____

City/State/Zip: _____

2. Is the interest held directly by you or is it attributable to you? (See Paragraph D of the Financial Disclosure Statement Instructions and Definitions for definition of "Attributable.")

Direct: _____ Attributable: _____

3. Do you hold the interest solely or is it jointly held with another?

Solely: _____ Jointly:_____

3.A. If jointly, the percentage of your joint interest: _____%

3.B. Number (or range) of shares in the entity (see Schedule B-1, Question 5): _____ , or

Estimated percentage of ownership: _____ %

4. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

___ Yes, If yes give name of creditor: _____
___ No

5. Was any interest acquired during the reporting year?

___ Yes
___ No

If Yes;

5A. In what month was the interest acquired? _____

5B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.):

_____

5C. From whom did you acquire the interest in the entity? (If it was purchased by you or on your behalf in an open market transaction as in the case of publicly traded securities, state "unknown, market transaction"): _____

5D. Number (or range) of shares in the entity acquired (see instructions at Schedule B-1, Question 5): _____, or

Estimated ownership percentage acquired: _____ %

5E. If the interest acquired was shares in an entity that is publicly traded, was the acquisition at the market price?_____(Yes/No). If your answer is "no" or if the shares acquired were not publicly traded, state the price per share acquired._____. If the ownership interest acquired was other than shares, state the consideration paid._____.

6. Have you transferred any interest in this entity during the reporting period?

___ Yes
___ No

If Yes;

6A. In what month was the interest transferred?: _____

6B. How was the interest in the entity transferred? (Example: sale, gift, etc.) _____

6C. To whom did you transfer your interest in the entity? (If it was sold by you on your behalf in an open market transaction as in the case of publicly traded securities, state: "unknown, market transaction".)

_____

6D. Number (or range) of shares transferred (see instructions at Schedule B-1, Question 5): _____, or

Estimated ownership percentage transferred: _____ %

6E. If the interest transferred was shares in an entity that is publicly traded, was the transfer at the market price?_____(Yes/No). If your answer is "no" or if the shares transferred were not publicly traded, state the price per share transferred. _____. If the ownership interest transferred was other than shares, state the consideration received._____.

SCHEDULE D – Gifts

During the reporting period, did you receive any gift(s), directly or indirectly, in excess of a value of $20 or a series of gifts from the same donor with a cumulative value of $100 or more from a person or entity who: 1) did business with the Village; 2) engaged in an activity that was regulated or controlled by the Village; or 3) was a regulated lobbyist? (A list of entities that do business with the Village is attached as Exhibit I). Gifts received from a member of the official's or employee's immediate family, another child, or a parent of the individual, do not need to be disclosed.

___ Yes
___ No (Go to Schedule E)

If Yes; (Answer each question below. A separate Schedule D will be required for each gift.)

1. Who gave you the gift?

_____

2. What was the nature of the gift? (Example: restaurant meal, theater tickets, book, etc.)

_____

3. What was the value of the gift?

_____

4. If the gift was given to someone else at your direction, list the identity of the recipient of the gift.

_____

Please use additional sheet(s), if necessary, for any additional entries.

SCHEDULE E – Officers, Directorships, Salaried Employment and Similar Interests

During the reporting period, did you or any member of your immediate family (spouse, domestic partner or dependent child) have any salaried employment or hold any office or directorship with an entity that did business with the Village? (A list of entities that do business with the Village is attached as Exhibit I).

___ Yes
___ No (Go to Schedule F)

If Yes; (Answer each question below. A separate Schedule E will be required for each disclosure.)

1. What is the name and address of the business entity?

Name: _____

Address: _____

City/State/Zip: _____

2. Who was the individual who held the position or interest listed above? (Example: yourself, spouse, dependent child)

Self: _____ Spouse: _____ Dependent child:_____

2A. Name of spouse or dependent child: _____

3. What is the title of the office you, your spouse or dependent child held? (Example: limited partner, director, treasurer, chair of the board of trustees, etc.) _____

4. What year did the position begin? _____

5. With what Village department did the business entity do business?_____

_____

6. What was the nature of the business? (Example: regulated by the Village, registered under the lobbying law, or involved with sales and contracts with the Village)

_____

If necessary, please use additional sheet(s) for any additional entries.

SCHEDULE F – Debts You Owe

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with the Village? **[NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the Village as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]** (A list of entities that do business with the Village is attached as Exhibit I).

___ Yes
___ No (Go to Schedule G)

If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)

1. To whom did you owe the debt? (Do not include consumer credit debts)

_____

2. When was the debt incurred? _____

3. What are the interest rate and terms of payment of the debt?

Interest Rate_____

Terms (monthly, bimonthly, annually, etc): _____

4. What was the amount of the debt as of the end of the reporting period. If debt existed during the reporting period but was paid in full at the end of the period, put $0. $_____

5. Did the principal of the debt increase _____or decrease _____ during the reporting period, and by how much? $_____

6. Was any security given for the debt?

___ Yes
___ No

If Yes; Please state what type of security was given (home, car, boat, etc): _____

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction.

_____

If necessary, please use additional sheet(s) for any additional entries.

SCHEDULE G – Family Members Employed by the Village

During the reporting period, were any members of your immediate family (spouse, domestic partner or dependent children) employed by the Village in any capacity?

___ Yes
___ No (Go to Schedule H)

<u>If Yes</u>; (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the Village.)

1. What is the relation and name of the immediate family member employed by the Village?

_____

2. What is the name of the department that employed the member of your immediate family?

_____

3. What was the title of your immediate family member's position in the Village during the reporting period? _____

If necessary, please use additional sheet(s) for any additional entries.

SCHEDULE H – Employment/Business Ownership

During the reporting period, did you or any member of your immediate family (i.e., spouse or dependent child) receive any **earned** income from an entity other than the Village? Please note that your dependent child's source of earned income does not need to be disclosed unless his/her place of employment or the business entity in which he/she had an ownership interest that produced earned income is subject to regulation or the authority of the Village.

___ Yes
___ No (Go to Schedule I)

<u>If Yes</u>; (Answer each question below. A separate Schedule H will be required for each member of the immediate family who was employed or had whole or partial ownership of a business entity from which earned income was received.)

1. If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relation, name, employer, and address of the employment. (See limitation in first paragraph above for dependent children)

Name: _____

Relationship: _____

Name of Employer: _____

Address: _____

City/State/Zip: _____

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the relation, name and address of the business entity.

Name: _____

Relationship: _____

Name of Business Entity: _____

Address: _____

City/State/Zip: _____

If necessary, please use additional sheet(s) for any additional entries.

SCHEDULE I – Other

Is there any additional information or interest you would like to disclose?

STANDARDS OF CONDUCT

The Chevy Chase Village Ethics Ordinance includes standards of conduct applicable to financial disclosure filers and Village employees and appointed officials. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with the Village, and procurement specifications assistance restrictions. The Ordinance provides for exceptions and exemptions under certain circumstances.

Filers wanting more detailed information about these requirements should contact the Village Ethics Commission.

PRIVACY NOTICE

The Village Ethics Ordinance, adopted in compliance with the Public Ethics Law (State Government Article, Title 15), Annotated Code of Maryland require the collection of this information. The information will be available for public disclosure and to determine compliance with the Law. The information may be disclosed to any requesting person, including state, local or federal government officials, who record their name and address. This information will be provided to the filer upon request. See page 1 of the Financial Disclosure Statement.

EXHIBIT I

Entities that do business with Chevy Chase Village, updated 2/2016

Corporations/Contractors

1000bulbs.Com
AAA Fire Extinguishers
A.Morton Thomas
Ace Beverage
ADT Security(ADT)
AFLAC(AFL)
Airgas East(ARG)
Alianza Building Services
American Express
American Pest Control
American Flag and Gift
American Plant Food Co.
American Reprographics
Amato Industires
Aramark
Arc Eastern
Atlantic Guns
Autonomy(AUTNF)
Banner Glass
Bartlett Tree Experts
Baradel, Kosmerl, & Nolan
Beach Brothers Printing
Bethesda Business Solutions
Bethesda Lawn and Power
Benefit Wallet
Bialeks Music
Blue Tarp Financial
Boulevard Contractors
Brownells Inc
Broadpoint Technology
CALEA
CareFirst(WLP)
Capitol Hardscapes
Calvert Design Group
Care of Trees
Cardinal Sound
Carquest
CDW Government
Centric
Century Cleaning
Chief Supply Corperation
Chinook Medical Gear
Thomas E Clark
Comcast(COMSA)
Complete Industries
Concentra Medical Centers
CODY Computer Services
Costco(COST)
Country Casual
Criswell Chevrolet
Cummins Power Systems(CMI)
Dade Paper
Dash Medical Gloves
Dell Computers(DELL)
Deluxe Business Solutions
Drivers License Guide
ESRI
Feather and Associates
Federal Express(FDX)
Ferguson Enterprises
Framing Studio
Fred Pryor Seminars
Freedman and Sons
Friends and Family Flooring
Gaithersburg Equipment
Gall's Incorperated(GLW)
Garden State Highway Products
Genesis Security Systems
Giant Food
Gilbert Guns
Grainger Industrial Supplies(GWW)
Graphic Design International
Graves Uniforms
Hamm, Timothy
Hanagans
Hertrich Fleet Services, Inc
Hewlett-Packard Company
Holiday Inn(IHG)
Hilton Hotels
Home Depot
Howard Uniform Company
ICMA
IWIF
Innovative Catering
Info. Technology and Communications
Intellitec Solutions
Interstate Battery
Intoxometers
J&J Amusements
Johnson Crane
Konica Minolta
Kustom Signals
Lab Safety Inc
Lawman Supply Company
Lawrence Street, LLC
Lexis Nexis
LGIT
Lila Fendrick
Lindsey & Assoc.
Long Fence
Maryland Municipal League
MBC Precision Imaging
McGuire, Pat
MD Chiefs of Police
MD Notary
MD Telephone Products
Medstar Health
Mindys Catering
Mobile Lube Express(XOM)
Montgomery County Govt.
Motorola(MSI)
Nextel Communications(S)
Northern Safety Company
Oak Grove Restoration Company
Old Dominion Brush
Officemax(OMX)
Oriental Trading Company
Orkin Pest Control
P and R Enterprises Inc
Palace Florists
Panera Bread(PNRA)
PaperPlus
Party Warehouse
Pepco(POM)
Pickney LLC
Pitney Bowes(PBI)
Police Correctional and Training
Principle Life(PFG)
Precision Doors
Professional Gardeners
ProForma Integrated Solutions
ProPet Distributors
ProSource
Provisions LTD
Qualification Targets, Inc
Quality Engraving
Radial Tire
Regal Tickets
Reliance Standard Insurance(DFG)
RK&K
Rockville Printing and Graphics
Roberts Oxygen
Roberts, Stephen
Rydin Decals
Safemasters
Seneca Engineering LLC
Shemin Nursery
Sirchie Finger Print Labs Ic
Southern Police Supply
Spectrum Printing
Stadler Nursery
Staples(SPLS)
Stein Sperling Bennett DeJong
Strosniders Hardware
Studio Crowley Hall
Sun Badge
Thomas E Clark
Thomas T. Gardens
Total Contracting
T.W.Perry
Tyco Integrated Security
US Postal Service
Unity Disposal
UPS(UPS)
Valley Crest Maintenance
Verizon Wireless(VZ)
Vermeer Mid-Atlantic
VH Sign Company
Washington Gas(WGLCO)
Washington Gas Energy Services(WGLCO)
Washington Post
Watch Guard Technologies(WGRD)
WSSC
Westwood Cleaners
Windstream Communications
Xerox Local and State Solutions(XRX)
Yankee Clippers

Banks

Capital One(COF)
Congressional
OBA Bank
Hamilton Bank
Frederick County(FCBI)
Balt. CO. Savings Bank(BCSB)
Monument
MidState(MSSB)
Suntrust(STI)
BB&T

* Excludes Persons and Entities That Have Rented The Village Hall